

03-16-01

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BOX PATENT APPLICATION  
Asst. Commissioner for Patents  
Washington, DC 20231

OFGS File No. : P/2167-230  
Inventor : Alexandra Keene  
Title : SYSTEM AND METHOD FOR CHECK EXCEPTION ITEM  
NOTIFICATION  
Assignee : The Chase Manhattan Bank

Enclosed herewith please find the following documents in the above-identified application for United States Letters Patent:

- ☒ Print EFS Data Sheet
- 27 Pages of Specification including Abstract and Claims
- 26 Numbered Claims Calculated as 26 Claims for Fee Purposes
- 4 Sheets of Drawing Containing Figures 1 to 4.
- ☒ Declaration and Power of Attorney
- ☒ Priority is Claimed under 35 U.S.C. §119:
- Convention Date 3-17-2000 for U.S. Appln. S.N. 60/190,176
- ☒ Assignment
- ☒ IDS; PTO Form 1449 and references therein
- ☒ Petition to Make Special Pursuant to MPEP § 708.02 VIII
- ☒ Declaration of Steven S. Rubin in Support of petition to Make Special
- ☒ Return-Addressed Post Card

OFGS Check No. 3816, which includes the fee of \$1,178.00, calculated as follows:

Basic Filing Fee: ..... \$ 710.00  
Additional Filing Fees:  
[6] Total Number of Claims in Excess of 20, times \$18: .... 108.00  
[4] Number of Independent Claims in Excess of 3, times \$80: .. 320.00  
One or More Multiple Dependent Claims: Total \$270. ....  
Total Filing Fees or ..... \$1,138.00  
Assignment Recording Fee: \$40 ..... 40.00  
TOTAL Filing Fee and Assignment Recording Fee: ..... \$1,178.00

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed, or if any additional fee during the prosecution of this case is not paid, the Patent and Trademark Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EL611014174US) in an envelope addressed to: Box Patent Application, Asst. Commissioner for Patents, Washington, D.C. 20231, on March 15, 2001;

Dorothy Jenkins

Name of Person Mailing Correspondence

*Dorothy Jenkins*

Signature

March 15, 2001

Date of Signature

SIW:SSR:sak

Respectfully submitted,

*SR*

Steven S. Rubin

Registration No.: 43,063

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent Application of

Alexandra Keene

Date: March 15, 2001

Serial No. : TBA

Filed : Concurrently

For : SYSTEM AND METHOD FOR CHECK EXCEPTION ITEM  
NOTIFICATION

BOX PATENT APPLICATION

Assistant Commissioner for Patents

Washington, D.C. 20231

03/20/2001 DTESSEM1 00000025 09809494

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**PETITION TO MAKE SPECIAL  
PURSUANT TO MPEP § 708.02 VIII**

Sir:

Applicant, through her undersigned representative, hereby petitions, pursuant to 37 C.F.R. § 1.102(d) and MPEP § 708.02 VIII, that the present application, be made special.

In support of this Petition, Applicant submits a Declaration by Steven S. Rubin reporting a search for prior art, copies of the references, and the required fee of \$130.00 (Check No.: 277).

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed, or if any additional fee during the prosecution of this case is not paid, the Patent and Trademark Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

If the Patent and Trademark Office determines that all claims presented are not directed to a single invention, Applicant, will make an election of a single invention without traverse.

Mr. Rubin's report on the search for the prior art describes the field of search by class and subclass, includes one copy of each of the references, and includes a detailed discussion of the references, including how the invention is distinguishable from the references with the particularly required by 37 C.F.R. §1.111(b) and (c).

Grant of the Petition is earnestly solicited.

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Dorothy Jenkins

Name of Person Mailing Correspondence

*Dorothy Jenkins*  
Signature

March 15, 2001

Date of Signature

SIW:SSR:sak

Respectfully submitted,

*Steven S. Rubin*

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